

September 24, 2012

Jerry [REDACTED]
[REDACTED]

Paso Robles, CA [REDACTED]

Honorable Judge Robert P. Patterson
United States District Judge
500 Pearl St.
New York, NY 10007-1312

Dear Judge Patterson,

My name is Jerry [REDACTED], and I am writing this letter asking for your leniency in sentencing [REDACTED] a colleague and friend of mine for almost 3 decades. I understand that he committed insider trading, he has admitted his mistake and he takes full responsibility for it. Your Honor, I would like to assure you that the [REDACTED] who I know is not a criminal, he is a good honest man, and a multi-talented creative person. I want to tell you about our history, and explain how your leniency would substantially benefit our society by putting [REDACTED]'s talents to use, in particular his creative technology ideas, and to allow him to become a productive citizen again.

[REDACTED] and I are both graduate electrical engineers from UC Berkeley and began working together in the mid 1980's. We were successful in developing families of electronic products for a small Silicon Valley "start up". The types of products included consumer, medical, military and industrial devices. [REDACTED] advanced rapidly and became a design manager. He then accepted a position as the Director of Semiconductor Design in another new "start up". We had worked together for a few years by this time and had developed a trust and respect for each other's abilities. I joined him at the new company and we repeated our successful design team approach in the rapidly changing computer and audio fields.

A third company learned of [REDACTED]'s skills with people and circuit development and made him Vice President of Engineering. He personally built up a team of engineers and support personnel from people that knew him and trusted him. I was one of those people. We were again very successful and we had many satisfied employees, customers and stockholders.

[REDACTED] has always been a well-liked manager and an "inventor" class circuit creator. Over the years he has received many patents, some of which I co-invented with him, and has been rewarded by being given important leadership roles. His ability to motivate and educate younger less experienced people is one of the main reasons for his and his companies' success. Over the years, [REDACTED] and his teams' efforts have generated hundreds of millions of dollars in sales. This has created many jobs for people in the electronics industry.

[REDACTED] and I worked at technology companies, and did what we did to make a good life for our families and we hoped to make the world a better place. We had fun innovating and wanted to create new things working with friends whom we trusted. I know, as his colleague who has watched him in many different operational circumstances for almost thirty years, that [REDACTED] is neither a cheater nor a greedy person. The mistake he has made is not a typical character trait

of his, and has been an aberration. During all these years, the [REDACTED] I know has been a fair, honest and sensitive person and he was always helpful to people.

Your Honor, Ali and I have discussed and are thinking about a number of new semiconductor chip ideas and products, which sound exciting. For example, he has a number of general ideas to add sensor modules to smart phones to make them accomplish more useful tasks. Tasks such as monitoring people's health metrics (fever, blood-pressure, etc.), or toxicity and pollution of air/water, or sugar/salt in food or fruits - just to name a few. These are examples of the ideas we have discussed.

I worked with and joined [REDACTED] in three companies continuously over a dozen years. I feel that a lot of other people on his teams and I benefitted professionally, and personally from his drive, and creativity and I have no regrets about it. We are all a few years older now, but I will happily join him in making such technological ideas discussed above happen.


He is a curious person, which drove him to go to graduate school at nights to learn not just about engineering but also business, patent law, and recently psychology and philosophy. I think it was chiefly his curiosity and not money, which motivated him to explore the investment field. In many occasions he told me that he did not belong to the Wall Street culture, and that he wanted to come back to either the engineering or the academic field. He is more of an academic person who I could see as an engineer or a teacher, and I have always known that he was not and is not a Wall Street type.

In our discussions, [REDACTED] has told me that he completely admitted his insider-trading mistake and knows the consequences' of this mistake are extremely serious. He gives himself no excuses, and I give him credit for "seeing it like it is". I believe [REDACTED] is a conscientious person who has suffered for his mistake and the hardship that he has brought upon his wife and two young children.

I am proud of him for the way he has protected his family from any more harm, he has not wasted his time feeling sorry for himself and has instead gone back to school and worked on his ideas during this crisis. He has done everything possible to get his life back on course since his mistake about four years ago.

Your Honor, I know situations like this are very difficult for all parties concerned. I'm hoping that my unqualified support for [REDACTED] will help you decide to let [REDACTED] prove that he is a good citizen and let him put his and his families life back together.

Respectfully yours,


Jerry [REDACTED]
Retired Fellow Scientist, & Engineering Consultant

Clarise A. [REDACTED]

Foster City, CA [REDACTED]

October 17, 2012

Honorable Judge Robert P. Patterson
United States District Judge
New York Courthouse, Southern District
500 Pearl St.
New York, NY 10007-1312

Dear Judge Patterson:

We are writing this letter on behalf of a gentleman that we hold in high honor and with deep respect, Mr. [REDACTED]. Mr. [REDACTED] will be appearing in your Court for sentencing, and we genuinely appreciate your consideration of our observations, knowledge, and experience of Mr. [REDACTED]'s work and contribution to our agency and the San Mateo County community.

We have worked with non-profit agencies in San Mateo County for over 20 years, and specifically with StarVista for the past 10 & 12 years. StarVista works with challenging, marginalized, stigmatized clients with low socio-economic stressors and frequently present with co-occurring disorders including mental health issues, addictions and complex trauma. Clients present with many problems including homeless issues, economic and financial problems, medical and psychiatric issues, as well as criminal justice stressors. The work requires a high level of training, insight, understanding, creativity, and hard work.

Mr. [REDACTED] joined our organization in March 2011, filling a volunteer counseling psychology internship position and committed to working with this population for over 12 months. When Mr. [REDACTED] attended his first interview he clearly explained the insider trading charges pending and appeared to take full responsibility for his actions. Despite his challenges, Mr. [REDACTED] brought all of the above mentioned values to his position including an educational history and a variety of work experience that gave him understanding and wisdom beyond most. As well, Mr. [REDACTED] brought a wonderful multi-cultural perspective to his work, which enhanced his understanding and built his capacity to deal with the many diversity issues that frequently face clients. StarVista clients represent a very diverse population with a number of clients facing personal, socio-economical, and documentation challenges.

Mr. [REDACTED] commitment to a path of life long learning speaks for itself, encompassing graduate studies in fields of engineering, business, law, psychology, and recently

philosophy. His technological inventions, and professional talents and commitments have also been demonstrated in his career accomplishments over the past 30 years.

At our agency, Mr. [REDACTED] was consistently able to transfer his broader skill set to the therapeutic environment impacting clients through his warm, strength-based, caring, welcoming, and positive relationship building. He made a remarkable difference in the lives of multiple clients and the StarVista agency, each client, staff member and the community is healthier, safer and more stable because of Mr. [REDACTED]'s work.

Over the years we have had many interns who have contributed tremendously to our agency and the community, but Mr. [REDACTED] consistently exceeded our expectations of this position. Mr. [REDACTED]'s work ethics and professionalism were of the highest standard. He would always check in and volunteer himself to support our team of clinicians and make sure we understood of his availability if additional work responsibilities were presented.

Since knowing Mr. [REDACTED] it's clear he's a man strong character by always putting aside his own needs and checking to make sure the clients and team's needs were met. On so many occasions the clients spoke so highly of Mr. [REDACTED] and wanting to keep their connection they had established. He had the ability to provide our clients with hope when the system and their situation seemed hopeless. To Mr. [REDACTED]'s credit he always held his clients with positive regard and found time to go the extra mile when it would have been easy to move them along the system of minimal support with reoccurring negative outcomes.

Mr. [REDACTED] certainly contributed to our agency, our program, our clients and our community prompting our commitment to write this letter. Mr. [REDACTED] clearly has a great deal more to give to the world to make it a better place, including by his volunteer work. But perhaps the greatest and most important reason and consideration for probation is the fact Mr. [REDACTED] is a wonderful husband and father and son, and he continues to care with love and gratitude for his wife, young daughters and mother. To preserve the well being of the [REDACTED] family, as well as giving him a second chance to be a productive citizen, we honestly beg you to consider probation.

With sincere appreciation,



Clarise [REDACTED]



Durand [REDACTED]

Michal [REDACTED]

[REDACTED]
San Jose, CA [REDACTED]

Tel: 716-989-7838

Email: [REDACTED]

October 17, 2012

Honorable Judge Robert P. Patterson
United States District Judge
New York Courthouse, Southern District
500 Pearl St.
New York, NY 10007-1312

Mr. [REDACTED] - Letter of Support

Dear Judge Patterson,

I am writing this letter in support of Mr. [REDACTED] whom I have come to know three years ago. During the past three years I served as [REDACTED]'s professor in several classes that he has taken within the Counseling Psychology program in Santa Clara University. The classes in our program are populated with limited number of students, resulting in an intimate setting that provides ample opportunities for a close interaction with the students both inside an out of the classroom. Furthermore, the specific classes that [REDACTED] has taken with me require integration of the learned materials into understanding and exploration of oneself and others. The quality and amount of introspective work that [REDACTED] performed during these classes allows me, as a clinical psychologist, to provide well-informed comments on his character.

[REDACTED] is an exceptionally bright and emotionally mature individual. His friendliness and collegiality make interactions with him most enjoyable. He showed a remarkable capacity for dealing with his feelings in an honest and intelligent way in the service of his professional and personal growth. He transpired as a highly conscientious and honest person, whose warmth and emphatic attitude helped other students feel comfortable and accepted. His ability to address his feelings and articulate them both orally and in writing is truly inspiring.

I am not fluent in the legal details associated with the insider-trading for [REDACTED]'s sentencing, but this issue has surfaced often in the introspective essays he has communicated to me. Throughout this undoubtedly trying time, [REDACTED] has taken full responsibility for his actions, and did not try to diminish his part or put the blame on. He described the chain of events, and the resulting adversities for his family and himself in his typical honest and open fashion.

While not entirely related, I should mention that [REDACTED] was among the best students I ever taught. In many cases he was the only student that recognized and identified complicated psychological concepts in clinical cases that were presented in class. [REDACTED] contributed a lot to class discussions and was able to articulate his thoughts in an emphatic way. His knowledge and ability to use it both for self-introspection and analyses of clinical material are quite exceptional.

Your Honor, I believe that [REDACTED] has taken full responsibility for his actions. The ongoing trial he has been facing in the last several years has taken a heavy toll on him and on his family. Nevertheless, he has demonstrated a remarkable resilience, striving (and succeeding) to make the most of this hard experience and use it as a tool for learning and self-growth. Furthermore, I strongly believe that his choice in counseling path in the midst of this challenging period in his life has not been coincidental, and is unique in that it speaks to his character. In my opinion, Mr. [REDACTED] is a good man who has accepted his mistake, and has taken serious steps to improve himself, including studying psychology. He committed about 3 years of full time study to this field, which in part but a major way required him to intensely process his life long personal and familial dynamics and upbringing as well as other challenges such as past traumas, immigration, losses (including the death of his father who was the most important man in his life), and his mistake during the most vulnerable and challenging period of his life. Facing one's past in such circumstances is not easy and takes courage. In this process, I believe that he has turned this crisis into a blessing and an opportunity for growth, and has come out even a better man for himself, his family, and society.

Moreover, he has reached out to his local community. Specifically, he provided instrumental help as a counselor for underprivileged population in his clinical practicum, and has been heavily engaged in supporting local immigrant communities in need. I am in full support of allowing him to get his life back on course as quickly as possible, and enable him to be productive and fulfill his incredible potential to excel and help others.

Respectively yours,

Michal

Michal [REDACTED]

Adjunct Professor of Counseling Psychology